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| CONTINUING (§111(a)) APPLICATION TRANSMITTAL OF INTERNATIONAL APPLICATION <i>(Bypass Continuation Application Under 35 U.S.C. §111(a))</i> | Attorney Docket No. 826.1911 | |
| | First Named Inventor: Jens C. RASMUSSEN et al. | |
| | Title: | POLARIZATION MODE DISPERSION COMPENSATOR BASED ON DEGREE OF POLARIZATION |
| | Express Mail Label No. | |

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| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | ADDRESS TO: Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450 |
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1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract [Total Pages: 46]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 30] [FIGS. 1-30]
4. ☒ Oath or Declaration [Total Pages: 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference
The entire disclosure of the prior application (identified in Item 22) is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Application Data Sheet. See 37 C.F.R. 1.76
9. ☒ Applicant claims foreign priority benefit to: Japanese Application PCT/JP01/07568 filed August 31, 2001
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
12. ☐ For publication of assignee information under 37 CFR 1.215(b), list the assignee as . The Assignment papers will be filed later.

ACCOMPANYING APPLICATION PARTS

13. ☒ Assignment (cover sheet & document(s)) to FUJITSU LIMITED of Kawasaki, JAPAN
 - ☒ for publication of assignee information under 37 CFR 1.215(b)
14. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
15. ☐ English Translation Document (if applicable)
16. ☐ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☐ Certified Copy of Priority Document(s) filed in prior application No. .
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
17. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
18. ☐ Preliminary Amendment
 - a. ☐ enclosed herewith.
 - b. ☐ incorporated herein (see Box 22).
19. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
20. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
21. ☒ Other: Form PCT/IP/308; International Publication No. WO 03/21822; Form PCT/ISA/210; Form PCT/ISA/220; Form PCT/ISA/202; Form PCT/ISA/224; Form PCT/IB/301

22. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: PCT/JP01/07568. This is a continuing application, filed under 35 U.S.C. §111(a), of International Application PCT/JP01/007568, filed 31 August 2001.

Preliminary Amendment:

☐ Amend the specification by inserting before the first line the sentence:--This application is a continuing application, filed under 35 U.S.C. §111(a), of International Application PCT/JP01/07568, filed 31 August 2001.--

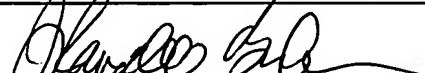
23. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171



21171

PATENT TRADEMARK OFFICE

24. SIGNATURE OF ATTORNEY OR AGENT

| | | | |
|-----------|------------------------------------------------------------------------------------|------------------|-------------------|
| NAME | J. Randall Beckers | REGISTRATION NO. | 30,358 |
| SIGNATURE |  | DATE | December 17, 2003 |



13281 U.S. FTO

S&H Form (2/02)

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| NEW APPLICATION FEE TRANSMITTAL | | Attorney Docket No. | | 826.1911 | | | |
| | | Application Number | | | | | |
| | | Filing Date | | | | | |
| AMOUNT ENCLOSED | | \$ 1240.00 | | First Named Inventor | | Jens C. RASMUSSEN et al. | |
| FEE CALCULATION (fees effective 10/01/03) | | | | | | | |
| CLAIMS | (1) FOR | (2) NUMBER FILED | | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS | |
| | TOTAL CLAIMS | 16 | - 20 = | 0 | X \$ 18.00 = | \$ 0.00 | |
| | INDEPENDENT CLAIMS | 8 | - 3 = | 5 | X \$ 86.00 = | 430.00 | |
| | MULTIPLE DEPENDENT CLAIMS (any number; if applicable) | | | | + \$290.00 = | | |
| | | | | | BASIC FILING FEE | 770.00 | |
| | | | | | Total of above Calculations = | \$ 1200.00 | |
| | Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00) | | | | | | |
| | Reduction by 50% for filing by small entity (37 CFR 1.27). | | | | | | |
| | | | | | TOTAL FILING FEE = | \$ 1200.00 | |
| | | | | | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) | | |
| | | | | | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) | | |
| | | | | | TOTAL FEES DUE = | \$ 1240.00 | |
| METHOD OF PAYMENT | | | | | | | |
| <input checked="" type="checkbox"/> Check enclosed as payment. | | | | | | | |
| <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. | | | | | | | |
| <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date). | | | | | | | |
| GENERAL AUTHORIZATION | | | | | | | |
| <input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to: | | | | | | | |
| Deposit Account No. | | 19-3935 | | | | | |
| Deposit Account Name | | STAAS & HALSEY LLP | | | | | |
| <input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. | | | | | | | |
| SUBMITTED BY: STAAS & HALSEY LLP | | | | | | | |
| Typed Name | | J. Randall Beckers | | | Reg. No. | | 30,358 |
| Signature | | | | | Date | | December 17, 2003 |